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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/631,282	07/31/2003	Austin T. Gavin	1665-3(a)

Galgano & Burke  
 Suite 35  
 300 Rabro Drive  
 Hauppauge, NY 11788



CONFIRMATION NO. 7734

## FORMALITIES LETTER



\*OC000000016221488\*

Date Mailed: 06/08/2005

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Both of the duplicate disc(s) of a set of compact discs submitted contains unreadable files but there is a readable copy of each filed on at least one compact disc. A replacement set of duplicate discs with a readable copy of all files complying with 37 CFR 1.52(e) is required. Any replacement compact discs submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set. If a directory of the disc could be printed, it is attached and the files, which are unreadable, are marked on the directory listing.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Office of Initial Patent Examination (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



PATENT  
DOCKET NO.: 1665-3(a)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : Austin T. Gavin  
SERIAL NO. : 10/631,282  
FILED : July 31, 2003  
FOR : SYSTEMS AND METHODS FOR SCHOOL DISTRICTS AND  
MUNICIPALITIES TO MAXIMIZE INTEREST EARNINGS ON THEIR  
INVESTMENTS

CD-ROM TRANSMITTAL LETTER

MAIL STOP MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Copy 1: Title 030730\_0817, Format:ISO 9660 MS-Windows

List of files	Size (Bytes)	Date of Creation
munivest.txt	568,948	July 30, 2003

Copy 2: Identical to Copy 1.

Respectfully submitted,

AUSTIN T. GAVIN

Thomas M. Galgano  
Reg. No.: 27,638  
GALGANO & BURKE, LLP  
Attorney for Applicant

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(631) 582-6161

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**CERTIFICATE OF MAILING**

Hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents  
P.O. Box 1450, Alexandria, Virginia 22313-1450 on August 7, 2005

By: Thomas M. Galgano Date: 8/8/05

THOMAS M. GALGANO

**PATENT**  
1665-3(a)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Austin T. Gavin  
Serial No. : 10/631,282  
Filed : July 31, 2003  
For : SYSTEMS AND METHODS FOR SCHOOL DISTRICTS  
AND MUNICIPALITIES TO MAXIMIZE INTEREST  
EARNINGS ON THEIR INVESTMENTS

MAIL STOP MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**REPLY TO NOTICE TO FILE MISSING PARTS**

Sir:

This is in response to the Notice to File Missing Parts dated June 8, 2005,  
please amend the above-identified application as follows:

The Notice to File Missing Parts indicated some problem in reading the  
CDROM disks and required a replacement set.

Enclosed herewith is a substitute CDROM transmittal letter and two properly  
labeled replacement CDROM disks which contain files identical to those originally  
submitted.

Since no claims have been added and no extension fee is required, no fees are enclosed herewith. Please charge any additional fees to Deposit Account No.: 07-0130.

In light of the above, it is now believed the application is in order for examination, and prompt examination is earnestly solicited. Should any issues remain outstanding, the Examiner is invited to call the undersigned attorney of record so that the case may proceed expeditiously to allowance.

Respectfully submitted,

AUSTIN T. GAVIN



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Enclosures: CD-ROM Transmittal letter  
Two (2) CDs  
Copy of Notice to File Missing Parts  
Postcard

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